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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/791,527

**Applicant(s)**

SAEY, DIMITRI

**Examiner**

SIU M. LEE

**Art Unit**

2611

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 April 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4, 8-11, 14, 15, 19-23, 26 and 28-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 8-11, 14, 15, 19-23, 26 and 28-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 July 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/28/09 has been entered.

### ***Claim Objections***

1. Claim 8-11, 14, 30, 20-23, 26 and 31 are objected to because of the following informalities:

Claim 8, line 10 recites "within each of **the** plurality of dynamically variable size carrier"; the examiner suggesting deleting the extra "the".

Claim 20, lines 14-16 recites "a tone decoder coupled to the transmission channel configured to transmit the messages, the tone decoder being dynamically set up based upon the first and the second carriergroup parameters"; the examiner suggest changing to "a tone **encoder** for coupled to the transmission channel configured to transmit the messages"

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 1-4, 7, 28-29 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Page 8-9 of the instant application describes 2 embodiments, in the first embodiment (describes in page 8, line 30 to page 9, line 16), **one** subcarrier group is in form for all subcarriers and a worst case signal to noise ratio for all subcarriers is used to set a carriergroup parameter (page 9, line 1-3); wherein the second embodiment (describes in page 9, line 17 to page 10, line 11), a multiple subcarrier is in form for all subcarriers and a carriergroup parameter is in form from a worst case signal to noise ratio of the subcarrier within each group.

Claim 1, lines 4-8 recites "a carriergrouping means configured to group the plurality of carriers into a plurality of dynamically variable size carrier groups based on the parameters (embodiment 2, describe in page 9, lines 17-21 of the instant application), to determine a first carriergroup parameter for **each** of the plurality of dynamically variable size carrier groups, the first carriergroup parameter being a worst case parameter from among the parameters corresponding to the plurality of carriers

within each of the plurality of dynamically variable size carrier groups" (embodiment 1 wherein a single worst case SNR to define a carriergroup parameter for the one carrier grouping, page 9, lines 1-4; it appears this limitation describes a step of determining a first carriergroup parameter (a single parameter) and use said first carriergroup parameter for one carrier grouping wherein the one carrier grouping includes all subcarriers).

Since the instant application does not disclose a mixing of the 2 embodiments, the examiner interpret the claimed limitation as a new matter that is not describe in the disclosure of the instant application.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1, 4, 7-8, 11, 14-16, 19-20, 26, and 28-31 are rejected under 35 U.S.C. 102(b) as being anticipated by Peeters et al. (US 2001/0012783 A1, hereafter Peeters).

(1) Regarding claim 15:

Peeters discloses a method of grouping a plurality of carriers in a DMT communication system, the DMT communication system including a near end (TX modem in figure 1) and a far end modem (RX modem in figure 1), comprising:

determining at least one dynamically variable sized carrier group from the plurality of carriers used for communication in the DMT communication system (channel analyzing circuitry CHANNEL receives a predetermined sequence from the TX modem and measures the signal to noise ratio for each carrier, paragraph 0019, lines 4-7; paragraph 0021 states that the carrier subsets of carriers typically will not contain the same number of carriers and the constitution of the subsets will be report via messages (possibly via the constellation information message BiGi) from the VDSL receiver to the VDSL transmitter; this paragraph indicates that the number of carriers in a carrier subset is not fixed and will varies according to the measured signal to noise ratio by CHANNEL as mentioned in paragraph 0019; paragraph 0023 states "the transmitting and computing bits and gains information according to the present invention may be applied during operation to adapt the carrier constellation according to changes of the channel characteristics"; the carrier constellation as mentioned in paragraph 0005, "this message also may contain the description of the carrier subsets". This indicates that the generation of the constellation information (including the description of the carrier subsets, the number of bits to be load in each carrier subset and the gain for each of the carrier subset) can be preformed during the operation to adapt to the changes of the channel characteristics, that will include the measure of the signal to noise ratio for each carrier in order to group the carriers into difference carrier subsets. Since it is well known that the channel characteristic is dynamically changing, therefore, the update of the constellation information will be perform according to the change of the channel characteristic, that is the dynamically updating of the constellation information. From

this two paragraphs (paragraph 0021 and 0023), it is inherent that the grouping of the carriers and transmitting parameters to other modems are performed dynamically and the size of each carrier subset will vary depending on the signal to noise ratio of each carrier);

determining a worst case carriergroup signal-to-noise ratio (SNR) for the plurality of carriers within at least one dynamically variable sized carrier group (the channel analyzing circuitry CHANNEL upon transmission of a predetermined sequence measures the signal-to-noise ratio (SNR) for each carrier  $f_0$  to  $f_{4095}$ , paragraph 0019, lines 4-13, it is inherent that among the SNR measured within the plurality of carrier group, there is a lowest SNR among the measured signal to noise ratio, the examiner interprets this lowest signal to noise ratio as the worst case SNR);

determining a carriergroup bitloading and a carriergroup gain for the plurality of carriers within at least one dynamically variable sized carrier group based on the carriergroup SNR (this signal-to-noise ratio values are used by the constellation information producer to determine for each carrier subset, SUBSET1 to SUBSET8 the number of bits that can be modulated on each carrier of this subset and the gain where each carrier of this subset should be transmitted with, paragraph 0019, lines 8-13, figure 1 shows that the sub-carriers are divided in subsets and the sub-carriers in a subset carries the same number of bits, i.e. each sub-carrier in subset 1 as shown in figure 1 carries 2 bits; and each sub-carrier in subset 2 carries 4 bits (paragraph 0019). As each subset of sub-carriers carries a different number of bits, it is inherently that there is one subset that carries the least number of bits. The subset of carriers that carry the least

number of bits comprises sub-carriers that have a lowest signal to noise ratio within all sub-carriers therefore can only have a lower bit allocation. The examiner interprets the subgroup of sub-carriers that carry the least number of bits is the group with the lowest signal to noise ratio, that is the worst case signal to noise ratio and lowest bit allocation parameter; therefor, it is the worst case parameter of the plurality of carriers within the at least one dynamically variable size carrier group);

using the carriergroup bitloading and the carriergroup gain to dynamically set up a tone encoder in the near end modem (MOD in figure 1) in the near end modem (the constellation information receiver BiGi\_RX decapsulates the constellation information message and supplies the parameter values B1, G1, B2, G2, . . . , B8, G8 to the constellation determining circuitry BiGi\_DET; for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the constellation determining circuitry BiGi\_DET obtain for each carrier the number of bits that should be modulated thereon. Similarly, the constellation determining circuitry BiGi\_DET constantly interpolates for each subset, SUBSET1, SUBSET2, ....SUBSET8, the received gain value, G1, G2,....,G8 respectively, to obtain for each carrier the gain with which the carrier should be transmitted. The so generated bits and gains information is supplied to the control input of the DMT modulator MOD, paragraph 0019); and

using the carriergroup bitloading and the carriergroup gain to transmit messages from the near end modem to the far end modem using the tone encoder (the MOD is being setup for modulates B1 bits (B1 is supposed to be 2 in Fig.) on the carriers f.sub.0 . . . f.sub.511 of SUBSET1 and transmits these carriers with gain G1, modulates B2 bits



(B2 is supposed to be 4 in Fig.) on the carriers f.sub.512 . . . f.sub.1023 of SUBSET2 and transmits these carriers with gain G2, . . . , modulates B8 bits (B8 is supposed to be 3 in Fig.) on the carriers f.sub.3584 . . . f.sub.4095 of SUBSET8 and transmits these carriers with gain G8 as shown in figure 1, paragraph 0019).

(2) Regarding claim 19:

Peeters et al. discloses a method wherein the communication system is VDSL system (paragraph 0019, lines 1-2).

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1 rejected under 35 U.S.C. 103(a) as being unpatentable over Peeters et al. (US 2001/0012783 A1, hereafter Peeters) in view of Ginesi et al. (US 2003/0054852 A1).

(1) Regarding claim 1 (the examiner rejection the following claims assuming that the claims are directed to the second embodiment as describe in page 9, liens 17 to page 10, line 11):

Peeters discloses a modem, comprising:

a carriergroup receiving means (DMOD in figure 1) configured to receive parameters relating to a plurality of carriers (the transmitter TX in figure 1 transmit a predetermined sequence to receiver RX and is received by the DMT demodulator DMOD and the DMOD receives a constellation information from constellation information producer BiGi\_PROD in the control input of the DMT demodulator DMOD, paragraph 0018-0019);

a carriergrouping means (channel analyzing circuitry CHANNEL and constellation information producer BiGi\_PROD of RX modem in figure 1) configured to group the plurality of carriers into a plurality of dynamically variable size carrier groups based on the parameters (constellation information message that contains the bit loading information and the gain information and group the subcarriers according to the signal to noise ratio and gain for each subcarrier, paragraph 0019, lines 4-7; paragraph 0021 states that the carrier subsets of carriers typically will not contain the same number of carriers and the constitution of the subsets will be report via messages (possibly via the constellation information message BiGi) from the VDSL receiver to the VDSL transmitter; this paragraph indicates that the number of carriers in a carrier subset is not fixed and will varies according to the measured signal to noise ratio by CHANNEL as mentioned in paragraph 0019; paragraph 0023 states "the transmitting and computing bits and gains information according to the present invention may be applied during operation to adapt the carrier constellation according to changes of the channel characteristics"; the carrier constellation as mentioned in paragraph 0005, "this message also may contain the description of the carrier subsets". This indicates that

the generation of the constellation information (including the description of the carrier subsets, the number of bits to be load in each carrier subset and the gain for each of the carrier subset) can be preformed during the operation to adapt to the changes of the channel characteristics, that will include the measure of the signal to noise ratio for each carrier in order to group the carriers into difference carrier subsets. Since it is well known that the channel characteristic is dynamically changing, therefore, the update of the constellation information will be perform according to the change of the channel characteristic, that is the dynamically updating of the constellation information. From this two paragraphs (paragraph 0021 and 0023), to determine a first carriergroup parameter for each of the plurality of dynamically variable size carrier groups, the first carriergroup -parameter being a worst case parameter from among the parameters corresponding to the plurality of carriers within each of the plurality of dynamically variable size carrier groups ((figure 1 shows that the sub-carriers are divided in subsets and the sub-carriers in a subset carries the same number of bits, i.e. each sub-carrier in subset 1 as shown in figure 1 carries 2 bits; and each sub-carrier in subset 2 carries 4 bits (paragraph 0019). As each subset of sub-carriers carries a different number of bits, it is inherently that there is one subset that carries the least number of bits. The subset of carriers that carry the least number of bits comprises sub-carriers that have a lowest signal to noise ratio within all sub-carriers therefore can only have a lower bit allocation. The examiner interprets the subgroup of sub-carriers that carry the least number of bits is the group with the lowest signal to noise ratio, that is the worst case signal to noise ratio and lowest bit allocation parameter; therefor, it is the worst case parameter of the

plurality of carriers within the at least one dynamically variable size carrier group), and to determine a second carriergroup parameter for each of the plurality of dynamically variable size carrier groups (gain values G1 to G8, paragraph 0019); and

a carriergroup transmitting means (BiGi\_TX of RX modem in figure 1) configured to transmit at least one message including the plurality of carriergroup parameters and the at least one dynamically variable size carrier group (constellation information transmitting arrangement BiGi\_TA transmit the constellation information message BiGi from the constellation information transmitter BiGi\_TX (of modem RX) to the constellation information receiver BiGi\_RX (of modem TX) as shown in figure 1, paragraph 0018).

Peeters discloses all subject matter as discussed in above except determining a second carriergroup parameter (gain for the carriergroup) is based on the first carriergroup parameter (the examiner interprets the bit allocation as a representation of signal to noise ratio).

However, Ginesi et al. discloses in paragraph 0043, equation 12, a relationship between gain factor A and the signal to noise ratio SNR, paragraph 0040 discloses once signal to noise ratio has been determined, a fine gain table can be compute based on the signal to noise ratio.

It is desirable to determining a second carriergroup parameter (gain for the carriergroup) is based on the first carriergroup parameter because it can reduce power consumption and interference (paragraph 0039). Therefore, it would have been obvious

to one of ordinary skill in the art at the time of invention to employ the teaching of Ginesi et al. in the modem of Peeters in order to reduce interference and power consumption.

(2) Regarding claims 2, 9, and 21:

Peeters discloses all the subject matter except the first carriergroup parameter comprises a carriergroup signal to noise ratio (SNR) parameter for each of the plurality of dynamically variable size carrier groups.

However, Peeters et al. discloses wherein the at least one carriergroup parameter transmitted by the carriergroup transmitting means is a bit loading number for the carriergroup for the plurality of carriergroup (paragraph 0020, lines 3-8) and the bit loading information is obtained by the signal to noise ratio of the corresponding carrier, paragraph 0019; and the carriergroup is dynamically variable size (paragraph 0021 states that the carrier subsets of carriers typically will not contain the same number of carriers and the constitution of the subsets will be report via messages (possibly via the constellation information message BiGi) from the VDSL receiver to the VDSL transmitter; this paragraph indicates that the number of carriers in a carrier subset is not fixed and will varies according to the measured signal to noise ratio by CHANNEL as mentioned in paragraph 0019; paragraph 0023 states "the transmitting and computing bits and gains information according to the present invention may be applied during operation to adapt the carrier constellation according to changes of the channel characteristics"; the carrier constellation as mentioned in paragraph 0005, "this message also may contain the description of the carrier subsets". This indicates that the generation of the constellation information (including the description of the carrier

subsets, the number of bits to be load in each carrier subset and the gain for each of the carrier subset) can be preformed during the operation to adapt to the changes of the channel characteristics, that will include the measure of the signal to noise ratio for each carrier in order to group the carriers into difference carrier subsets. Since it is well known that the channel characteristic is dynamically changing, therefore, the update of the constellation information will be perform according to the change of the channel characteristic, that is the dynamically updating of the constellation information. From this two paragraphs (paragraph 0021 and 0023), it is inherent that he grouping of the carriers and transmitting parameters to other modems are perform dynamically and the size of each carrier subset will varies depending on the signal to noise ratio of each carrier).

It would have been obvious to one of ordinary skill in the art at the time of invention to realize that the bit loading for a carrier is proportional to the signal-to-noise ratio; with a high SNR, the carrier can transmit more bits; therefore the bit loading information for a carriergroup is another form of representation of the signal-to noise ratio. In the instant application, the far end modem receives the transmitted SNR parameter and uses the SNR for determining the bit loading information for the carrier group. Peeters et al. discloses that the near end modem used the measured SNR to determine the bit loading information and then transmitted the bit loading information to the far end modem. Therefore, it would have a matter if obvious design choice to one of ordinary skill in the art and provide the advantage of faster setup time in the far end modem.

(3) Regarding claims 3, 10, and 22:

Peeters discloses in figure 1 that the sub-carriers are divided in subsets and the sub-carriers in a subset carries the same number of bits, i.e. each sub-carrier in subset 1 as shown in figure 1 carries 2 bits; and each sub-carrier in subset 2 carries 4 bits (paragraph 0019). As each subset of sub-carriers carries a different number of bits, it is inherently that there is one subset that carries the least number of bits. The subset of carriers that carry the least number of bits comprises sub-carriers that have a lowest signal to noise ratio within all sub-carriers therefore can only have a lower bit allocation. The examiner interprets the subgroup of sub-carriers that carry the least number of bits is the group with the lowest signal to noise ratio, that is the worst case signal to noise ratio and lowest bit allocation parameter; therefor, it is the worst case parameter of the plurality of carriers within the at least one dynamically variable size carrier group.

Peeters does not disclose the worst case parameter comprises a worst case signal to noise ratio (SNR).

However, it would have been obvious to one of ordinary skill in the art at the time of invention to realize that the bit loading for a carrier is proportional to the signal-to-noise ratio; with a high SNR, the carrier can transmit more bits; therefore the bit loading information for a carriergroup is another form of representation of the signal-to noise ratio and the carrier subset with the lowest bit allocation represents the worst case signal to noise ratio. In the instant application, the far end modem receives the transmitted worst case SNR parameter and uses the SNR for determining the bit loading information for the carrier group. Peeters et al. discloses that the near end

modem used the measured lowest SNR to determine the lowest bit loading information and then transmitted the bit loading information to the far end modem. Therefore, it would have a matter if obvious design choice to one of ordinary skill in the art and provide the advantage of faster setup time in the far end modem.

(4) Regarding claims 4, 11, and 23:

Peeters discloses wherein the second carriergroup parameter comprises a gain factor for each carriergroup for each of the plurality of dynamically variable size carrier groups (paragraph 0019) but does not explicitly disclose the gain factor is a carriergroup bitloading parameter.

However, it is well known in the art as evidenced by Ginesi et al. that a channel capacity is estimated as a function of scale factor A (gain) (paragraph 0047).

(5) Regarding claims 7, 14, and 26:

Peeters discloses means (constellation determining circuitry BiGi\_DET ) for using at least one message to set up a tone encoder (MOD) in a far-end modem (TX modem) (the constellation information receiver BiGi\_RX decapsulates the constellation information message and supplies the parameter values B1, G1, B2, G2, . . . , B8, G8 to the constellation determining circuitry BiGi\_DET; for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the constellation determining circuitry BiGi\_DET obtains for each carrier the number of bits that should be modulated thereon. Similarly, the constellation determining circuitry BiGi\_DET constantly interpolates for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the received gain value, G1, G2, . . . , G8 respectively, to obtain for each carrier the gain with which the carrier should be



transmitted. The so generated bits and gains information is supplied to the control input of the DMT modulator MOD, paragraph 0019).

(6) Regarding claims 28, 30, and 31:

Peeters discloses wherein the second carriergroup parameter comprises a carriergroup gain parameter for each of the plurality of dynamically variable size carrier groups (the so obtained gain values G1..G8 are encapsulated in the constellation information message BiGi by the constellation information transmitter BiGi\_TX, paragraph 0019).

(7) Regarding claim 29:

Peeters discloses setting up a tone encoder using first carriergroup parameter and the second carriergroup parameter (the examiner interprets the tone encoder is in the modem TX in figure 1) (the constellation information receiver BiGi\_RX decapsulates the constellation information message and supplies the parameter values B1, G1, B2, G2, . . . , B8, G8 to the constellation determining circuitry BiGi\_DET; for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the constellation determining circuitry BiGi\_DET obtain for each carrier the number of bits that should be modulated thereon. Similarly, the constellation determining circuitry BiGi\_DET constantly interpolates for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the received gain value, G1, G2, . . . , G8 respectively, to obtain for each carrier the gain with which the carrier should be transmitted. The so generated bits and gains information is supplied to the control input of the DMT modulator MOD, paragraph 0019).

(8) Regarding claim 8:

Peeters discloses a method for grouping a plurality of carriers in a DMT communication system, comprising

determining the plurality of carriers used for communication in the DMT communication system into a plurality of dynamically variable size carrier groups (after channel analysis, the carriers are grouped in subset of carriers, paragraph 0021, lines 3-6) (paragraph 0021 states that the carrier subsets of carriers typically will not contain the same number of carriers and the constitution of the subsets will be report via messages (possibly via the constellation information message BiGi) from the VDSL receiver to the VDSL transmitter, it indicates that the number of carriers in a carrier subset is not fixed and will varies according to the measured signal to noise ratio as mentioned in paragraph 0019. Paragraph 0023 states "the transmitting and computing bits and gains information according to the present invention may be applied during operation to adapt the carrier constellation according to changes of the channel characteristics. The carrier constellation as mentioned in paragraph 0005, "this message also may contain the description of the carrier subsets", it indicates that the generation of the constellation (information including the description of the carrier subsets, the number of bits to be load in each carrier subset and the gain for each of the carrier subset) can be preformed during the operation to adapt to the changes of the channel characteristics, that will include the measure of the signal to noise ratio for each carrier in order to group the carriers into difference carrier subsets. It is well known in the art that a channel characteristic is always changing, the update of the constellation information will be perform according to the change of the channel characteristic, that is

the dynamically updating of the constellation information. From paragraph 0021 and 0023, it is inherent that the grouping of the carriers and transmitting parameters to other modems are performed dynamically and the size of each carrier subset will vary depending on the signal to noise ratio of each carrier);

determining a plurality of carriergroup parameters for each of the plurality of dynamically variable sized carrier groups (the CHANNEL and BiGi\_PROD determine the carriergroup information that includes at least the number of bits for each carrier subset and the gain for each carrier subset, paragraph 0019), the plurality of carriergroup parameters including a first carriergroup parameter being a worst case parameter relating to the plurality of carriers within each of the plurality of dynamically variable size carrier groups (figure 1 shows that the sub-carriers are divided in subsets and the sub-carriers in a subset carry the same number of bits, i.e. each sub-carrier in subset 1 as shown in figure 1 carries 2 bits; and each sub-carrier in subset 2 carries 4 bits (paragraph 0019). As each subset of sub-carriers carries a different number of bits, it is inherently that there is one subset that carries the least number of bits. The subset of carriers that carry the least number of bits comprises sub-carriers that have a lowest signal to noise ratio within all sub-carriers therefore can only have a lower bit allocation. The examiner interprets the subgroup of sub-carriers that carry the least number of bits is the group with the lowest signal to noise ratio, that is the worst case signal to noise ratio and lowest bit allocation parameter; therefore, it is the worst case parameter of the plurality of carriers within the at least one dynamically variable size carrier group), and a

second carriergroup parameter for each of the plurality of dynamically variable size carrier groups (gain values G1 to G8, paragraph 0019);

using the plurality of carriergroup parameters to dynamically set up a tone decoder (DMOD of modem receiver RX in figure 1) (DMOD receives a constellation information from constellation information producer BiGi\_PROD in the control input of the DMT demodulator DMOD, paragraph 0018); and

sending at least one message, the at least one message including the plurality of carriergroup parameters (constellation information transmitting arrangement BiGi\_TA transmit the constellation information message BiGi from the constellation information transmitter BiGi\_TX (of modem RX) to the constellation information receiver BiGi\_RX (of modem TX) as shown in figure 1, paragraph 0018).

Peeters discloses all subject matter except a second carriergroup parameter (gain) being based on the first carriergroup parameter (bit allocation, the examiner interprets the bit allocation as a representation of signal to noise ratio).

However, Ginesi et al. discloses in paragraph 0043, equation 12, a relationship between gain factor A and the signal to noise ratio SNR, paragraph 0040 discloses once signal to noise ratio has been determined, a fine gain table can be compute based on the signal to noise ratio.

It is desirable to determining a second carriergroup parameter (gain for the carriergroup) is based on the first carriergroup parameter because it can reduce power consumption and interference (paragraph 0039). Therefore, it would have been obvious

to one of ordinary skill in the art at the time of invention to employ the teaching of Ginesi et al. in the modem of Peeters in order to reduce interference and power consumption.

(9) Regarding claim 20:

Peeters discloses a modem for grouping a plurality of carriers in a DMT communication system coupled to a far-end modem via a transmission channel (figure 1, the Rx modem and the TX modem), comprising:

carriergrouping means (channel analyzing circuitry (CHANNEL) and the BiGi\_PROD in the RX modem in figure 1, paragraph 0019, lines 5) configured to determine group the plurality of carriers into a plurality of dynamically variable size carrier groups (channel analyzing circuitry CHANNEL receives a predetermined sequence from the TX modem and measures the signal to noise ratio for each carrier, paragraph 0019, lines 4-7; paragraph 0021 states that the carrier subsets of carriers typically will not contain the same number of carriers and the constitution of the subsets will be report via messages (possibly via the constellation information message BiGi) from the VDSL receiver to the VDSL transmitter; this paragraph indicates that the number of carriers in a carrier subset is not fixed and will varies according to the measured signal to noise ratio by CHANNEL as mentioned in paragraph 0019; paragraph 0023 states "the transmitting and computing bits and gains information according to the present invention may be applied during operation to adapt the carrier constellation according to changes of the channel characteristics"; the carrier constellation as mentioned in paragraph 0005, "this message also may contain the description of the carrier subsets". This indicates that the generation of the constellation

information (including the description of the carrier subsets, the number of bits to be load in each carrier subset and the gain for each of the carrier subset) can be preformed during the operation to adapt to the changes of the channel characteristics, that will include the measure of the signal to noise ratio for each carrier in order to group the carriers into difference carrier subsets. Since it is well known that the channel characteristic is dynamically changing, therefore, the update of the constellation information will be perform according to the change of the channel characteristic, that is the dynamically updating of the constellation information. From this two paragraphs (paragraph 0021 and 0023), it is inherent that he grouping of the carriers and transmitting parameters to other modems are perform dynamically and the size of each carrier subset will varies depending on the signal to noise ratio of each carrier) to determine a first carriergroup parameter for each of the plurality of dynamically variable size carrier groups (B1 to B8 fro bit allocation, paragraph 0019), the first carriergroup parameter a worse case parameter relating to the plurality of carriers within each of the plurality of dynamically variable size carrier groups (figure 1 shows that the sub-carriers are divided in subsets and the sub-carriers in a subset carries the same number of bits, i.e. each sub-carrier in subset 1 as shown in figure 1 carries 2 bits; and each sub-carrier in subset 2 carries 4 bits (paragraph 0019). As each subset of sub-carriers carries a different number of bits, it is inherently that there is one subset that carries the least number of bits. The subset of carriers that carry the least number of bits comprises sub-carriers that have a lowest signal to noise ratio within all sub-carriers therefore can only have a lower bit allocation. The examiner interprets the subgroup of sub-carriers

that carry the least number of bits is the group with the lowest signal to noise ratio, that is the worst case signal to noise ratio and lowest bit allocation parameter; therefor, it is the worst case parameter of the plurality of carriers within the at least one dynamically variable size carrier group); and to determine a second carriergroups parameter for each of the plurality of dynamically variable size carrier group for each of the plurality of dynamically variable size carrier groups (gain parameters, G1 to G8, paragraph 0019), the carriergrouping means including:

a tone encoder (BiGi\_TX in receiving modem RX) coupled to the transmission channel (LINE) configured to transmit the messages (constellation information transmitter BiGi\_TX transmit the constellation information BiGi to the TX modem), the tone encoder being dynamically set up based upon the first and the second of carriergroup parameters (since the channel is dynamically changing, the constellation information is dynamically changing depending on the channel characteristic (as explain in above), therefore the constellation information send from the BiGi\_TX is dynamically set according to the information from BiGi\_PROD, paragraph 0018, the examiner interprets the limitation "the tone encoder being dynamically set up based on the plurality of carriergroup parameter" as the constellation information from the BiGi\_PROD configured the output of the BiGi\_TX); and

carriergroup transmitting means (BiGi TA of Rx modem in figure 1) configured to transmit messages including the first and the second carriergroup parameters (constellation information) to the far-end modem (TX modem in figure 1) via the transmission channel (LINE in figure 1) to enable the far-end modem to send and

receive messages using the plurality of dynamically variable size carrier groups (the constellation information receiver BiGi\_RX decapsulates the constellation information message and supplies the parameter values B1, G1, B2, G2, . . . , B8, G8 to the constellation determining circuitry BiGi\_DET; for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the constellation determining circuitry BiGi\_DET obtain for each carrier the number of bits that should be modulated thereon. Similarly, the constellation determining circuitry BiGi\_DET constantly interpolates for each subset, SUBSET1, SUBSET2, . . . , SUBSET8, the received gain value, G1, G2, . . . , G8 respectively, to obtain for each carrier the gain with which the carrier should be transmitted. The so generated bits and gains information is supplied to the control input of the DMT modulator MOD, paragraph 0019; the MOD is being setup for modulates B1 bits (B1 is supposed to be 2 in Fig.) on the carriers f.sub.0 . . . f.sub.511 of SUBSET1 and transmits these carriers with gain G1, modulates B2 bits (B2 is supposed to be 4 in Fig.) on the carriers f.sub.512 . . . f.sub.1023 of SUBSET2 and transmits these carriers with gain G2, . . . , modulates B8 bits (B8 is supposed to be 3 in Fig.) on the carriers f.sub.3584 . . . f.sub.4095 of SUBSET8 and transmits these carriers with gain G8 as shown in figure 1, paragraph 0019).

Peeters fails to disclose wherein the second carriergroups parameter is based upon the first carriergroup parameter.

However, Ginesi et al. discloses in paragraph 0043, equation 12, a relationship between gain factor A and the signal to noise ratio SNR, paragraph 0040 discloses



once signal to noise ratio has been determined, a fine gain table can be compute based on the signal to noise ratio.

It is desirable to determining a second carriergroup parameter (gain for the carriergroup) is based on the first carriergroup parameter because it can reduce power consumption and interference (paragraph 0039). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to employ the teaching of Ginesi et al. in the modern of Peeters in order to reduce interference and power consumption.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SIU M. LEE whose telephone number is (571)270-1083. The examiner can normally be reached on Mon-Fri, 7:30-4:00 with every other Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chieh Fan can be reached on (571) 272-3042. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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